

Compressibility of Closed Sets

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Background

- Kolmogorov complexity $M(x) = y$ where M is a universal prefix free machine.
- For the optimal compression, with $K(y) = |x|$, the compressed strings may be taken to be random, that is, incompressible.
- The compression of an infinite sequence $Y \in 2^{\mathbb{N}}$ to $X \in 2^{\mathbb{N}}$ via a Turing machine M has long been an interesting topic
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Use

- $\rho^X(n)$ is the *use* of oracle X to compute output $Y \upharpoonright n$
- Ryabkov showed that the optimal compression of Y will have *relative use* $\rho^-(X, Y) = \liminf_n \rho^X(n)/n$ equal to the constructive Hausdorff dimension $\dim(Y)$.
- Moreover, this compression can be done uniformly for all elements of a subset S of $2^{\mathbb{N}}$, with optimal compression $\dim(S)$.
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Optimal Compression

- Doty gave a similar result for the constructive packing dimension $Dim(Y) = \rho^+(X, Y) = \limsup_n \rho^X(n)/n$.
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Constructive Dimension

Constructive dimension for $X \in 2^{\mathbb{N}}$ is characterized as follows:

Theorem (Mayordomo)

$$\dim(X) = \liminf_n \frac{K(X \upharpoonright n)}{n}$$

Theorem (Athreya)

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Strict Process Machines

- $M : \{0, 1\}^* \rightarrow \{0, 1\}^*$ such that $\text{dom}(M)$ is a tree and if $v \preceq u$, then $M(v) \preceq M(u)$.

Compare to Levin's monotone machines, where if $v \prec u$ and both $M(u)$ and $M(v)$ are defined, then $M(v) \preceq M(u)$.

- For total M , this defines a computable function $F : 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}}$.
 M total on a tree T defines F on the closed set $[T]$.
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Description

- Let M be a strict process machine. Define $F_M : 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}}$ by $X \in \text{dom}(F_M) \iff \{|M(X \upharpoonright n)| : n \in \mathbb{N}\}$ is unbounded, and $F_M(X) = Y$ where X is the M -description of Y
- X describes $Y = F_M(X)$ with rate c if

$$(\exists^{\infty} n) (c \cdot n \leq |M(X \upharpoonright n)|)$$

We say that Y is c -compressible by M

- M complexity of Y :
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Complexity

- **P is an M -description of Q** if $Q = \{F_M(X) : X \in P\}$
- P describes Q with a rate $c > 0$ if each $X \in P$ describes $Y = F_M(X)$ with rate c .
- The **SPM complexity** of Q :
- $sp_M(P, Q) = \inf\{\frac{1}{c} : P \text{ describes } Q \text{ with a rate } c\}$
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Theorem

For any $X \in 2^{\mathbb{N}}$, $\dim(X) = sp(X)$.

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For any $Q \subset 2^{\mathbb{N}}$, $sp(Q) = \sup\{sp(Y) : Y \in Q\}$

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For Closed Sets

We can now improve the corollary as follows:

- If Q is closed, then Q can be optimally compressed by a closed set P with $\dim(P) = 1$.

Question

Can we make P a random closed set

- If Q is effectively closed, then Q may be compressed arbitrarily close to optimal by a Π_1^0 class P .
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Decidability

Definition

- For a closed set P , let $T_P = \{w \in \{0, 1\}^* : (\exists Z \in P) w \prec Z\}$
- P is **effectively closed** (a Π_1^0 class) if T_P is a co-c.e. set
- P is **decidable** if T_P is a computable set
- P is **1-decidable** if there is a computable function $f : \{0, 1\}^* \rightarrow (\mathbb{N} \cup \{\infty\})$ such that $f(w) = \text{card}\{Z \in P : w \prec Z\}$.

This can be generalized to $n + 1$ -decidability by having $f(w) = \text{card}\{Z \in D^n(P) : w \prec Z\}$

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Rank One Classes

Definition

Let P be a rank one Π_1^0 class with unique limit path A .

- $R_n(P) = \{Z \in P : n = \text{least } k (A \upharpoonright (k+1) \neq Z \upharpoonright (k+1))\}$
- Let $\ell(P) = \lim_n \text{card}(R_n)$ (if this exists)
- Let $av(P) = \lim \frac{\sum_{k \leq n} \text{card } R_k}{n+1}$ if it exists

$X \in R_n$ is an isolated path which branches off from A at $A(n)$.
 $av(P)$ is the average *population* of these branches.

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Subshifts

Definition

- The shift operator $\sigma : 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}}$ is defined by $\sigma(X) = (X(1), X(2), \dots)$.
- A closed set P is a subshift if it is closed under σ .
- Suppose that P is a subshift of rank one with unique limit path A . Then A is periodic.
- Furthermore, P is 1-decidable.

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Population of Subshifts

- Let P be a subshift with unique limit path $A = 0^\omega$.
- Then for each n , $R_{n+1} \subseteq R_n$.
- Since each R_n is finite, $\lim_n R_n = R$ exists, and $\ell(P) = \text{card}(R) = \text{av}(P)$ is an integer.

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Examples

- $P = \{0^\omega\} \cup \{0^n 1^\omega : n \in \omega\}$
 $\ell(P) = av(P) = 1.$
- $Q = \{0^\omega\} \cup \{0^n 10^\omega : n \in \omega\} \cup \{0^n 110^\omega : n \in \omega\}$
 $\ell(Q) = av(Q) = 2.$

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Homeomorphisms of Rank One Classes

- The problem of determining when two Π_1^0 classes of rank one are computably homeomorphic, and hence automorphic, or not, has been studied by Cenzer, Cholak+Downey, Montalbán, and others.
- It follows from this previous work that any two 1-decidable closed sets of rank one are computably homeomorphic.
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Compression of Rank One Classes

Theorem

Suppose that P and Q are rank one classes such that

- *$av(P) = p$, $av(Q) = q$ exist.*
- *P c -compresses Q .*

Then, $q \cdot c \leq p$.

Main Result

Theorem

Let P and Q be 1-decidable closed sets with unique limit paths, such that $av(P) = p$ and $av(Q) = q$ exist.

Then there exists a bijection $F : P \rightarrow Q$ represented by a computable strict process machine M such that P is an M -description of Q and $\lim \frac{|M(X \upharpoonright n)|}{n} = \frac{p}{q}$.

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Corollaries

Definition

$F : P \rightarrow Q$ is a *strong homeomorphism* if there are computable representations M, M' of F, F^{-1} such that, for $X \in P, Y \in Q$,

$$\lim \frac{|M(X \upharpoonright n)|}{n} = \lim \frac{|M'(Y \upharpoonright n)|}{n} = 1$$

Corollary

Let P and Q be 1-decidable closed sets with unique limit paths such that $av(P) = p$ and $av(Q) = q$ exist. Then

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Subshifts and strong homeomorphisms

Corollary

Let P be a subshift with a unique limit point and let $p = \ell(P) = av(P)$.

Then P is strongly homeomorphic to $\{0^\omega\} \cup \{0^n 1^k 0^\omega : k = 1, \dots, p\}$.

Current and Future Work

- $n + 1$ -decidable closed sets
- Compressibility and homeomorphisms of rank $n + 1$ closed sets
- Strong homeomorphisms of arbitrary closed sets

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Thank You